



On the dendrite property of fractal cubes

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Abstract

The paper considers the fractal cubes and presents all the data according to which it is possible to recognize whether a given fractal cube is a dendrite. The method of detecting the dendrite property for a fractal cube is based on the finding of the bipartite intersection graph for the fractal cube.

Keywords: fractal cube, dendrite, self-similar set, single intersection property, intersection graph

2010 MSC: 28A80

Let $n \geq 2$ and let $D = \{d_1, \dots, d_N\} \subset \{0, 1, \dots, n-1\}^k$. We call the set D a digit set. The set D and the integer n determine a system of contraction similarities $\mathcal{S} = \{S_j(x) = \frac{1}{n}(x + d_j)\}_{j=1}^N$ in \mathbb{R}^k , whose attractor K satisfies the set equation

$$K = \frac{K + D}{n} \quad (1)$$

The attractor K is called a *fractal k -cube* of order n with digit set D . In special cases where $k = 2$ and $k = 1$, K is called a *fractal square* and a *fractal segment*, respectively.

Though the topology of fractal squares was addressed by many authors [2, 5, 10, 3], the topology of fractal cubes is still waiting for the researchers attention. The purpose of the paper is to describe how to detect the sets D for which the fractal cube K is a dendrite. The authors were motivated by the question of Hui Rao: "How to detect a fractal square dendrite?" and proceed to find answer to this question and many related ones.

There is a sufficient condition for the dendrite property of self-similar sets [1, 7], which states that if a self-similar set K has the single intersection property and its bipartite intersection graph is a tree, then K is a dendrite. As proved in our previous paper [4], this condition is also necessary for fractal squares. We believe that this is true for fractal cubes as well.

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Received May 08, 2024; Accepted: June 20, 2024; Online: July 28, 2024.

In Section 1, we present the results of our paper [8] that describe the system A_k of faces P_α of the unit k -cube P^k and of a fractal k -cube K . In Section 2 we then formulate the intersection theorem for a pair K_1, K_2 of fractal cubes, which defines the system Σ of equations for the intersections F_α of faces of these two fractal cubes. Then we consider the structural graph for that system.

In Section 3 we expose the results of our paper [7] on the single intersection property for self-similar sets and the intersection graph criterion for the dendrite property for self-similar sets, which initially appeared in [1]. In Section 4 we present a roadmap for defining whether the given fractal cube is a dendrite.

1. The faces of a fractal cube.

1.1. The system of faces of the unit cube P^k .

A fractal k -cube K is always a subset of the unit k -cube $P^k = [0, 1]^k$ in \mathbb{R}^k .

The system of faces of the unit cube P^k is defined by the parameter set $A_k = \{-1, 0, 1\}^k$. Every vector $\alpha = (\alpha_1, \dots, \alpha_k) \in A_k$ defines the unique face P_α of the cube P^k through the equation $P^k \cap (P^k + \alpha) = P_\alpha$. The dimension j_α of P_α is equal to $k - |\alpha|$, where $|\alpha| = (|\alpha_1| + \dots + |\alpha_k|)$, so P_α is a unit j_α -cube.

There is an order relation \sqsubseteq on the set A_k , defined by the rule:

$$\beta \sqsubseteq \alpha \text{ if for any } i = 1, \dots, k, \alpha_i \neq 0 \text{ implies } \beta_i = \alpha_i.$$

Thus, $\beta \sqsubseteq \alpha$ iff P_β is a face of j_α -cube P_α . A vector $\alpha \in A_k$ is maximal with respect to the relation \sqsubseteq , if and only if for any i , $\alpha_i \neq 0$. In this case P_α is a vertex of the cube P^k .

We say that $\alpha, \beta \in A_k \setminus \{0\}$ are *complementary* and write $\alpha \perp \beta$, if $\sum_{i=1}^k |\alpha_i \beta_i| = 0$. If $\alpha \perp \beta$, then $\alpha + \beta \in A_k$ and $\alpha \sqsubset \alpha + \beta$. The relation $\alpha \perp \beta$ holds iff $P_\alpha \cap P_\beta = P_{\alpha+\beta}$. We denote by A_α the set of all $\beta \in A \setminus \{0\}$, complementary to α .

The set $\{\alpha + P^k, \alpha \in A \setminus \{0\}\}$ is the set of all neighbors of P^k in the family $\{d + P^k, d \in \mathbb{Z}^k\}$, and

$$\partial P^k = \bigcup_{\alpha \in A_k \setminus \{0\}} P_\alpha \quad (2)$$

Similarly, for each $\alpha \in A$ the boundary of P_α is represented by the equation

$$\partial P_\alpha = \bigcup_{\beta \sqsupset \alpha} P_\beta = \bigcup_{\gamma \in A_\alpha \setminus \{0\}} P_{\alpha+\gamma} \quad (3)$$

1.2. The faces of a fractal cube K .

Definition 1.1. Let K be a fractal k -cube. For $\alpha \in A_k \setminus \{0\}$, the set $K_\alpha = K \cap P_\alpha$ is called the α -face of K .

Theorem 1.2. For each $\alpha \in A_k$, the set $K_\alpha = K \cap P_\alpha$ is a fractal k -cube with digit set $D_\alpha = D \cap (n-1)P_\alpha$.

If $\alpha \perp \beta$ then $K_{\alpha+\beta} = K_\alpha \cap K_\beta = K \cap P_{\alpha+\beta}$ is a fractal k -cube with digit set $D_{\alpha+\beta} = D \cap (n-1)P_{\alpha+\beta}$. The formulas (2), (3) imply the following equalities.

$$\partial K = \bigcup_{\alpha \in A \setminus \{0\}} K_\alpha; \quad \partial K_\alpha = \bigcup_{\beta \in A_\alpha \setminus \{0\}} K_{\alpha+\beta}. \quad (4)$$

2. The equations for the intersection of fractal cubes

2.1. The digit sets G_α and $G_{\alpha\beta}$

Definition 2.1. Let K_1, K_2 be fractal k -cubes of order n with digit sets D_1, D_2 . Denote by F_α the intersection sets $F_\alpha = K_1 \cap (K_2 + \alpha)$, $\alpha \in A_k$. In particular, $F_0 = K_1 \cap K_2$.

To study the structure of $F_0 = K_1 \cap K_2$, one should take into account all intersection sets F_α and establish the relations between these sets.

The α and $(-\alpha)$ faces of the fractal cubes K_1 and K_2 are $K_{1,\alpha} = K_1 \cap P_\alpha$ and $K_{2,-\alpha} = K_2 \cap P_{-\alpha}$ respectively. Therefore, $F_\alpha = K_{1,\alpha} \cap (K_{2,-\alpha} + \alpha)$.

According to Theorem 1.2, the sets on the right side of the equation may be considered as fractal cubes themselves; therefore, we have the following proposition.

Proposition 2.2. Given fractal k -cubes K_1, K_2 of order n with digit sets D_1, D_2 and $\alpha \in A_k$, the set F_α is the intersection of fractal cubes \hat{K}_1, \hat{K}_2 with digit sets $\hat{D}_1 = D_1 \cap (n-1)P_\alpha$ and $\hat{D}_2 = D_2 \cap (n-1)P_{-\alpha} + (n-1)\alpha$, respectively. Furthermore, for any $\gamma \perp \alpha$, $F_{\alpha+\gamma} = \hat{K}_1 \cap (\hat{K}_2 + \gamma)$.

It follows from Proposition 2.2 that the intersection of fractal cubes \hat{K}_1 and \hat{K}_2 and all their faces may also be considered independently of the initial sets K_1, K_2 .

Definition 2.3. We denote by G_α the intersection of the digit sets $\hat{D}_1 = D_1 \cap (n-1)P_\alpha$ for $K_{1,\alpha}$ and $\hat{D}_2 = D_2 \cap (n-1)P_{-\alpha} + (n-1)\alpha$ for $(K_{2,-\alpha} + \alpha)$, which is equal to $D_1 \cap (D_2 + (n-1)\alpha)$. We denote by $G_{\alpha\beta}$ the set $D_1 \cap (D_2 + n\alpha - \beta)$.

The digit set G_α is naturally associated with the set F_α . If $\alpha = 0$, the set G_α becomes $G_0 = D_1 \cap D_2$.

2.2. The intersection theorem and the graph Γ_Σ .

The following theorem establishes the relations between the sets F_α :

Theorem 2.4. [8] The family $\{F_\alpha, \alpha \in A_k\}$ of intersections $F_\alpha = K_1 \cap (K_2 + \alpha)$ satisfies the system Σ of equations.

$$F_\alpha = \bigcup_{\beta \supseteq \alpha} T_{\alpha\beta}(F_\beta), \quad \alpha \in A_k, \quad (5)$$

where for any $\beta \supseteq \alpha$,

$$T_{\alpha\beta}(F_\beta) = \frac{1}{n}(F_\beta + G_{\alpha\beta}) \quad \text{and} \quad G_{\alpha\beta} = D_1 \cap (D_2 + n\alpha - \beta) \quad (6)$$

We consider the structural graph Γ_Σ of the system Σ defined by equations (5),(6), which is the main tool for finding the properties of sets F_α .

Definition 2.5. The structural graph Γ_Σ is a directed graph, whose vertices are all $\alpha \in A_k$, for which $F_\alpha \neq \emptyset$. A directed edge in Γ_Σ from α to β exists if and only if $\alpha \sqsubseteq \beta$ and the operator $T_{\alpha\beta}$ is non-degenerate.

In general, the graph Γ_Σ may contain 3^k vertices and 5^k edges, and 3^k of these edges are loops from α to itself. We mark each edge with $G_{\alpha\beta}$.

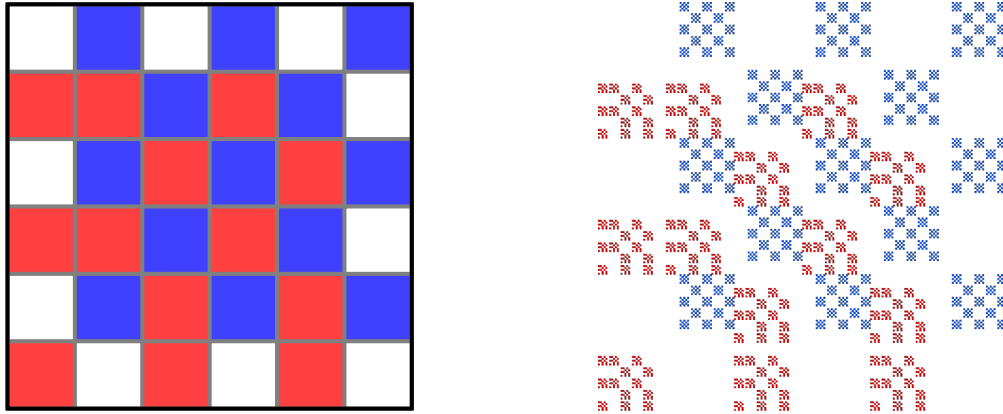


Figure 1: Intersection of two fractal squares

However, some of the vertices and edges in the graph Γ_Σ vanish. This occurs for α such that $F_\alpha = \emptyset$ and for those edges (α, β) for which $T_{\alpha\beta}(F_\beta) = \emptyset$.

It is obvious that

$$T_{\alpha\beta}(F_\beta) = \emptyset \quad \text{iff} \quad G_{\alpha\beta} = \emptyset \quad \text{or} \quad F_\beta = \emptyset \quad (7)$$

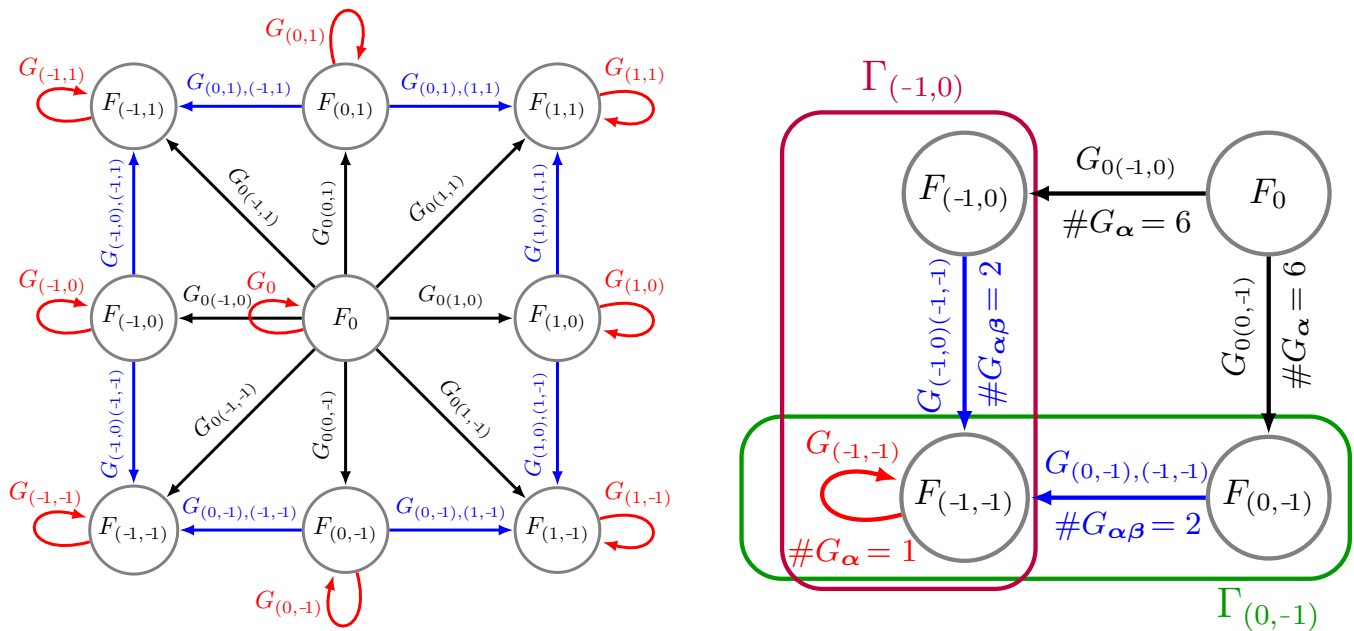


Figure 2: The maximal possible structure graph Γ_Σ for the intersection of two fractal squares (on the left) and the graph Γ_Σ for Example 1 (on the right). The picture on the right shows the subgraphs $\Gamma_{(-1,0)}$ and $\Gamma_{(0,-1)}$.

A set F_α is empty if $G_\alpha = \emptyset$ and for any $\beta \sqsupset \alpha$ the set $F_\beta + G_{\alpha\beta} = \emptyset$. Applying (7) to all $\beta \sqsupset \alpha$, we deduce the following emptiness condition for F_α :

Lemma 2.6. [8] *A set $F_\alpha = \emptyset$ if and only if for any $\beta \sqsupseteq \alpha$ and for any finite sequence $\alpha = \alpha_0 \sqsubseteq \alpha_1 \sqsubseteq \dots \sqsubseteq \alpha_{p-1} \sqsubseteq \alpha_p = \beta$, the product $\#G_{\alpha_0\alpha_1} \#G_{\alpha_1\alpha_2} \dots \#G_{\alpha_{p-1}\alpha_p} \#G_\beta$ is equal to zero.* \square

For these reasons, due to the reduction of all empty vertices and empty edges, the structure graph Γ for the system Σ defined in Theorem 2.4 has the set of vertices $V_\Sigma = \{F_\alpha : \alpha \in A, F_\alpha \neq \emptyset\}$ and the set of edges $E_\Sigma = \{(F_\alpha, F_\beta) : \alpha \sqsubseteq \beta, G_{\alpha\beta} \neq \emptyset, F_\beta \neq \emptyset\}$.

In general, the graph Γ_Σ may be disconnected.

We say that two vertices $F_\alpha, F_\beta, \alpha \sqsubset \beta$ are connected by a directed path in Γ_Σ , if there is a finite sequence $\alpha = \alpha_0 \sqsubset \alpha_1 \sqsubset \dots \sqsubset \alpha_{p-1} \sqsubset \alpha_p = \beta$ such that for any $j = 0, \dots, p$ sets $F_{\alpha_j} \neq \emptyset$ and sets $G_{\alpha_{j-1}\alpha_j} \neq \emptyset$ for $j = 1, \dots, p$.

We write $\beta \succ \alpha$ if there is a directed path in Γ from F_α to F_β .

If $\beta \succ \alpha$ or $\alpha \succ \beta$ then we say that α and β are Γ -comparable.

We denote by Γ_α a subgraph in Γ , whose vertices are all F_β such that $\beta \succ \alpha$. The relation $\beta \succ \alpha$ implies that Γ_β is contained in Γ_α .

We say that β is maximal for Γ_α , if Γ_β is a single vertex F_β . We say that β is minimal for Γ_Σ , if there is no α such that $\alpha \prec \beta$.

The following theorem states the conditions under which F_α is countable, finite, or a single-point set.

Theorem 2.7. [8] *Let K_1, K_2 be fractal k -cubes of order n and Γ_Σ be the structural graph for the intersection of K_1 and K_2 .*

- (a) *If there is a vertex β in Γ_α , such that $\#G_\beta > 1$, then the set F_α is uncountable;*
- (b) *If for all vertices β in Γ_α , $\#G_\beta \leq 1$ then the set F_α is countable;*
- (c) *If for all maximal vertices β in Γ_α , $\#G_\beta = 1$ and $G_\beta = \emptyset$ for all other vertices in Γ_α , then the set F_α is finite. In this case, $\#F_\alpha$ is equal to the sum of all compositions $\prod_{j=1}^{p-1} \#G_{\alpha_j\alpha_{j+1}}$, taken over all chains $\alpha = \alpha_1 \prec \dots \prec \alpha_p = \beta$, where β is maximal in Γ_α ;*
- (d) *The set F_α is a singleton if and only if Γ_α is a chain $\alpha = \alpha_1 \prec \dots \prec \alpha_p$ in which for all $j \leq p-1$, $\#G_{\alpha_j\alpha_{j+1}} = 1$, $G_{\alpha_j} = \emptyset$ and $\#G_{\alpha_p} = 1$.*

2.3. Intersections of the pieces of a fractal cube K .

To examine the intersections of copies of a single fractal cube K , we consider the intersections of K with itself. In that setting, the sets F_α are the intersections of opposite faces K_α and $K_{-\alpha}$ of the same fractal cube K , implying several relations between the parameters, containing α and $-\alpha$. If $\alpha = 0$, then $F_0 = K$. If $\alpha \neq 0$, then $F_{-\alpha} = F_\alpha - \alpha$. A direct computation shows that, for any α , $G_\alpha = D \cap (D + (n-1)\alpha)$ implies $G_{-\alpha} = G_\alpha - (n-1)\alpha$. Similarly, $G_{-\alpha-\beta} = D \cap (D - n\alpha + \beta) = G_{\alpha\beta} - n\alpha + \beta$.

Proposition 2.8. [8] *Let K be a fractal cube with digit set D and let $d_1, d_2 \in D$ and $K_{(d_1)}, K_{(d_2)}$ be copies of K . If $d_2 - d_1 \notin A_k$, then $K_{(d_1)} \cap K_{(d_2)} = \emptyset$. If $d_2 - d_1 = \alpha \in A_k$, then $K_{(d_1)} \cap K_{(d_2)} = \frac{F_\alpha + d_1}{n}$.*

3. The single intersection property and dendrite criterion.

Definition 3.1. [7] Let $\mathcal{K} = \{K_i, i \in I = \{1, \dots, m\}\}$ be a finite system of continua in a Hausdorff topological space X . We say that \mathcal{K} has single intersection property, if for any $i \neq j \in I$, intersection $P_{ij} = K_i \cap K_j$ consists of at most one point. We call \mathcal{K} a SIP-set system.

In the context of Definition 3.1, we denote $K = \bigcup_{i \in I} K_i$, $P = \bigcup_{i \neq j} P_{ij}$ and $P_i = \bigcup_{j \in I \setminus \{i\}} P_{ij}$. Taking into account K as the subspace of X provided by the induced topology, we see that the set P_i is the boundary ∂K_i of the set K_i in K , and that its interior is $\dot{K}_i = K_i \setminus P_i$. Observe that for any $i \in I$, $\#\partial K_i \leq (m - 1)$.

Definition 3.2. Let $\mathcal{S} = \{S_1, \dots, S_m\}$ be a system of injective contraction maps on a complete metric space X and K be its attractor. Let $\mathcal{K}(\mathcal{S}) = \{K_1, \dots, K_m\}$. \mathcal{S} is called an SIP system of contractions if the system $\mathcal{K}(\mathcal{S})$ is an SIP set system.

Applying Theorem 2.7, we get the following single intersection property criterion.

Corollary 3.3. [8] A fractal cube K has the single intersection property iff the structure graph $\Gamma(\Sigma)$ is a union of chains $0 \prec \alpha_{i1} \prec \dots \prec \alpha_{ip_i}$ for which all α_{ij} are different and such that for all i $\#G_{\alpha_{ip_i}} = 1$ and for all i, j for which $j \leq p_i - 1$, $\#G_{\alpha_{ij}\alpha_{i,j+1}} = 1$, $G_{\alpha_{ij}} = \emptyset$.

2. For a SIP set system \mathcal{K} (resp. SIP system \mathcal{S}) we define its *intersection graph* $\mathcal{G}(\mathcal{K})$ (resp. $\mathcal{G}(\mathcal{S})$) as a bipartite graph $(\mathcal{K}, P; E)$ with parts \mathcal{K} and P , for which an edge $\{K_i, p\} \in E$ iff $p \in K_i$. We call $K_i \in \mathcal{K}$ *white vertices* and $p \in P$ – *black vertices* of the graph Γ . The set $N(K_i)$ of neighbors of any white vertex K_i is P_i , whereas for any black vertex p , $N(p) = \{K_i : p \in K_i\}$. Each $p \in P$ is the intersection point of at least two of the sets K_i , therefore, $\deg(p) \geq 2$.

Theorem 3.4. [1, 7]. Let \mathcal{S} be a system of injective contraction maps in a complete metric space X , which has the single intersection property. The attractor K of the system \mathcal{S} is a dendrite if and only if the intersection graph $\mathcal{G}(\mathcal{S})$ is a tree.

Theorem 3.5. If a fractal k -cube $K = \frac{K + D}{n}$ has the single intersection property and its intersection graph \mathcal{G} is a tree, then K is a dendrite.

3.1. Finding black ramification points in the graph \mathcal{G} .

Theorem 3.6. Let $K = \frac{K + D}{n}$ be a fractal cube which has the single intersection property.

If there are $\alpha, \beta \succ 0$ such that $F_\alpha = F_\beta \neq \emptyset$, then for any triple $d, d + \alpha, d + \beta \in D$ the copies $K_{(d)}$, $K_{(d+\alpha)}$ and $K_{(d+\beta)}$ intersect in a single point $x = \frac{F_\alpha + d}{n} = \frac{F_\beta + d}{n}$.

Proof. It is clear that $K_{(d)} \cap K_{(d+\alpha)} = \frac{F_\alpha + d}{n}$ и $K_{(d)} \cap K_{(d+\beta)} = \frac{F_\beta + d}{n}$. The equality $F_\alpha = F_\beta$, implies

$$K_{(d)} \cap K_{(d+\alpha)} = K_{(d)} \cap K_{(d+\beta)} = \frac{F_\beta + d}{n} = \frac{F_\alpha + d}{n} = \{x\},$$

therefore $K_{(d)} \cap K_{(d+\alpha)} \cap K_{(d+\beta)} = \{x\}$.

Therefore the white vertices corresponding to $K_{(d)}, K_{(d+\alpha)}, K_{(d+\beta)}$ are connected to the same single black vertex p corresponding to the point x .

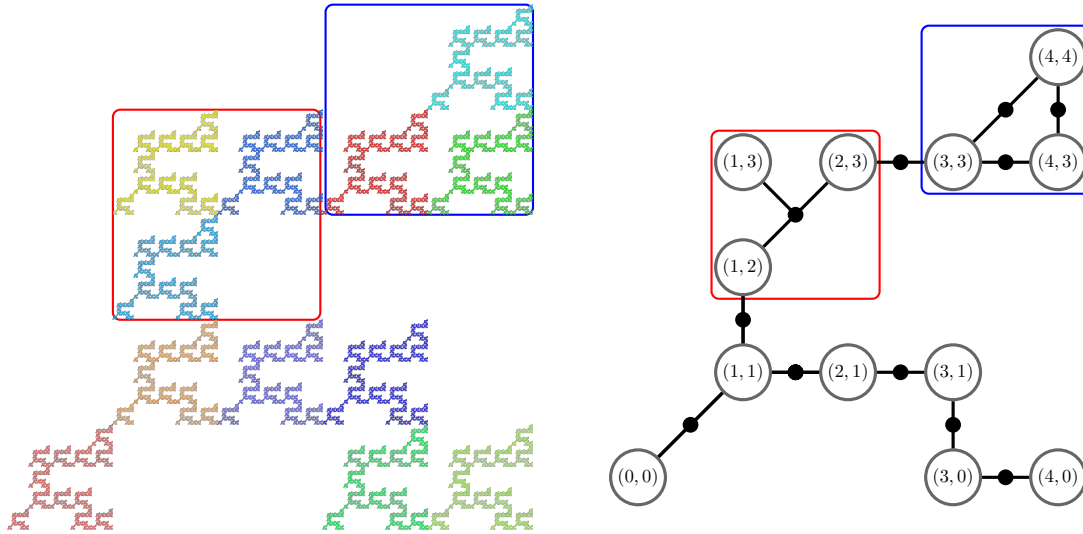


Figure 3: A triple of copies with unique intersection point and a triple of copies forming a cycle.

On the other hand, if $d, d - \alpha, d - \beta \in D$ then the intersection points are different.

$$K_{(d)} \cap K_{(d-\alpha)} = \frac{F_{\alpha} + (d - \alpha)}{n}; \quad K_{(d)} \cap K_{(d-\beta)} = \frac{F_{\alpha} + (d - \beta)}{n};$$

$$K_{(d-\alpha)} \cap K_{(d-\beta)} = \frac{F_{\alpha-\beta} + (d - \alpha)}{n} = \frac{F_{\beta-\alpha} + (d - \beta)}{n}$$

Therefore the white vertices corresponding to $K_{(d)}, K_{(d+\alpha)}, K_{(d+\beta)}$ form a cycle consisting of 3 white and 3 black points in the graph \mathcal{G} . \square

Corollary 3.7. Let $B = \{d_1, \dots, d_m\}$ be a subset of D which satisfies the condition: For any $d_i, d_j, d_k \in B$,

$$(d_j - d_i), (d_k - d_i) \in A \setminus 0 \text{ and } F_{d_j-d_i} = F_{d_k-d_i} \neq \emptyset.$$

Then there is a point $x \in K$ such that for any $d_i, d_j \in B$, $K_{(d_i)} \cap K_{(d_j)} = \{x\}$, and x corresponds to a black vertex of order m in \mathcal{G} .

4. How to test the dendrite property for a fractal cube.

Let K be a fractal k -cube. To check the dendrite property for K one should perform the following steps:

1. Find all the sets $G_{\alpha}, G_{\alpha\beta}$ for the system $\Sigma = \Sigma(K, K)$, and write the system Σ . Following Definition 2.5 and Lemma 2.6, eliminate all vanishing vertices and edges and construct the graph Γ_{Σ} .
2. Using the Corollary 3.3 check the single intersection property for the cube K . If it fails, K is not a dendrite.
3. Construct the bipartite intersection graph for the fractal cube K , connecting the intersecting copies with their intersection point by the edges. Be aware of multiple points, mentioned in the Corollary 3.7. If this graph is a tree, then K is a dendrite.

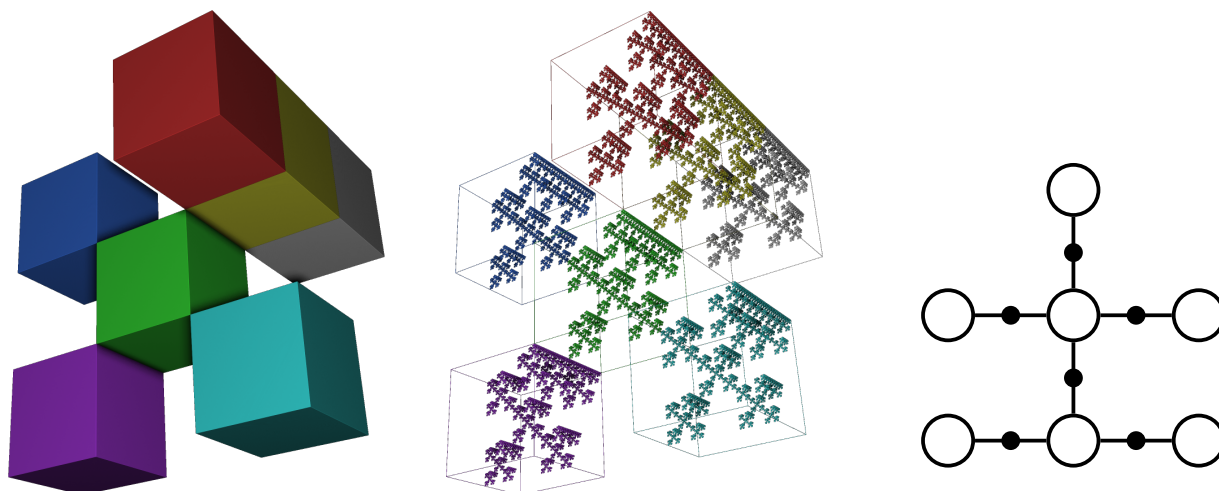


Figure 4: A fractal cube dendrite and its intersection graph.[9]

Acknowledgments: The authors thank anonymous reviewers for careful reading, constructive comments, and suggestions. The authors especially thank Dmitry Mekhontsev, whose package IFStile [6] served as the main experimentation tool in our search.

Funding information: The study was carried out within the framework of the state contract of the Sobolev Institute of Mathematics (project no. FWNF- 2022-0005).

Contributions of the authors: All authors have accepted responsibility for the whole content of this manuscript and approved its submission.

Conflict of interest: The authors do not state a conflict of interest.

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